

## **UMTSAND LTE: Their Working Principles And Performance Analysis Of UMTS**

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### **ABSTRACT**

Science and Technology never leaves its way. One way or other, there is a change in Science and Technology. Many Wireless technologies like GSM, UMTS, LTE, WiMAX, Wireless LAN and Bluetooth have changed the way we communicate and exchange data by making services like telephony and Internet available anytime and from almost anywhere. Today, a great variety of internet sources offer background information about these technologies but they all fall short in one way or another. In this paper we discussed a complete survey on UMTS and LTE and its working principles performance analysis are discussed.

**Keywords**–GSM, LTE,UMTS.

### **1. INTRODUCTION**

The first connection in the GSM network was set up in 1991 and this year marks the onset of the dynamic development of cellular telephony we are experiencing today. The unquestionable success of the GSM telephony has motivated further research in the field and the development of new technologies for cellular telephony. Initially, it was assumed that cellular networks would also provide their users with multimedia services and would offer access to the Internet. Subsequent research eventually succeeded in working out a standard for the third-generation telephony (UMTS). However, UMTS network telephony has been developing at a much slower pace than its GSM predecessor, hampered by substantially high costs of rendering a network operational. Currently, services provided by the UMTS network are offered by most cellular network operators. The number of mobile subscribers has increased tremendously in recent years. Besides the traditional mobile traffic, for instance the voice communication, the data usage such as streaming service, internet access, file sharing, etc. have grown fast day by day and the traffic volume has in many cases already exceeded the voice traffic volume. End users expect more diversified services and faster upload and download speed. Operators require higher data capacity with lower cost of data delivery for the growing markets. All these requirements and expectations boost the

evolution of the wireless communication system. The Universal Mobile Telecommunications

System (UMTS) is a third generation wireless telecommunication system and follows in the footsteps of GSM and GPRS. Since GSM was standardized in the 1980s, huge progress has been made in many areas of telecommunication. This allowed system designers at the end of the 1990s to design a new system that went far beyond the capabilities of GSM and GPRS. UMTS combines the properties of the circuit-switched voice network with the properties of the packet-switched data network and offers a multitude of new possibilities compared to the earlier systems.

Long Term Evolution (LTE) is the next step forward in cellular 3G services. Expected in the 2008 time frame, LTE is a 3GPP standard that provides for an uplink speed of up to 50 megabits per second (Mbps) and a downlink speed of up to 100 Mbps. LTE will bring many technical benefits to cellular networks. Bandwidth will be scalable from 1.25 MHz to 20 MHz. This will suit the needs of different network operators that have different bandwidth allocations, and also allow operators to provide different services based on spectrum. LTE is also expected to improve spectral efficiency in 3G networks, allowing carriers to provide more data and voice services over a given bandwidth.

### **2. EVOLUTION FROM 1G TO 4G**

First-generation (1G) mobile phones consist of voice only. These were replaced by second-generation (2G) digital phones with added fax, data, and messaging services. The 3G technology has added multimedia facilities to 2G phones.

#### **2.1 The first generation**

1G mobile system was based on the analogue system. The prominent ones among 1G system were advanced mobile phone system (AMPS), Nordic mobile telephone (NMT), and total access communication system (TACS).

#### **2.2 The second generation**

2G phones use global system for mobile communications (GSM) and were first used

in the early 1990s in Europe. GSM provides Voice and limited data services, and uses Digital modulation for improved audio quality. Table 1 shows the evolution from 1G to 4G.

**2.3 The third generation**

3G technologies adds multimedia facilities to 2G phones by allowing video, audio, and graphics applications. Over 3G phones, you can watch streaming video or have video telephony. The idea behind 3G is to have a single network standard, instead of the different types adopted in the US, Europe, and Asia. UMTS is also called 3G, broadband standard for packet based transmission of text, digitized voice, video, and multimedia at data rates up to 2 Mbps, offering a consistent set of services to mobile computer and phone users, no matter where they are in the world.

Table 1. Evolution from 1G to 4G

EVOLUTION FROM 1G TO 4G	SERVICES OFFERED
1G	Voice only
2G	Fax, Data and Messaging Services
3G	2G+Multimedia Facilities
4G	Higher Capacity, Completely IP oriented, multimedia, data to hundreds of megabits

**2.4 The fourth generation**

4G mobile communications will have Transmission rates up to 20 Mbps higher than of 3G.

**2.4.1 4G objectives:**

1. Speeds up to 50 times higher than of 3G. However, the actual available band-width of 4G is expected to be about 10 Mbps.
2. 3D virtual reality imagines personal video avatars and realistic holograms, and the ability to feel as if you are present at an event even if you are not. People, places, and products will be able to interact as the cyber and real worlds merge.
3. Increased interaction between corroborating technologies. Other 4G applications include high performance streaming of multimedia content based

on agent technology and scalable media coding methods.

**3. RESEARCH CONTRIBUTION**

At real time, some factors of UMTS is taken and tested in a live environment. Here a single city in Tamilnadu for instance is taken and tested for its performance of UMTS. Those factors include

**3.1 UMTS UE**

The separation between mobile equipment (ME) and the UMTS subscriber identity module (SIM) card (USIM). Figure 3.1 shows the user equipment functions. The UE is the counterpart to the various network elements in many functions and procedures.

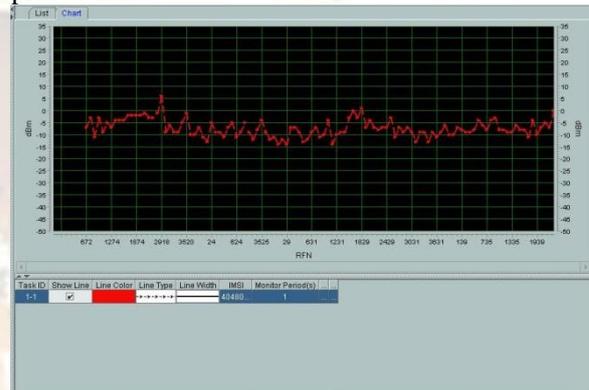


Fig 3.1 Ue Transmission Power

**3.2 Throughput**

Throughput can be measured by recording a trace of traffic over the IuPS interface or on the TE itself, and analyzing it with a suitable application that summarizes the quantity of bytes transmitted per unit of time in the form of a graph. Obviously the throughput measurement obtained in this way is represented in a graph. Here we monitored the downlink throughput for every 3 second and uplink throughput for every second and the results are graphically shown. Throughput for downlink for an average user is 3-4 per MHz and for uplink 2-3 per MHz.

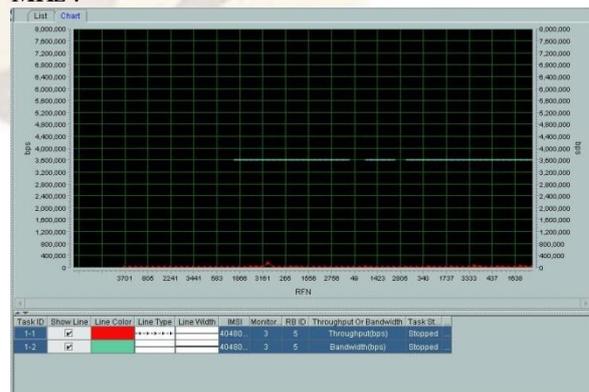


Fig 3.2(a) Downlink Throughput

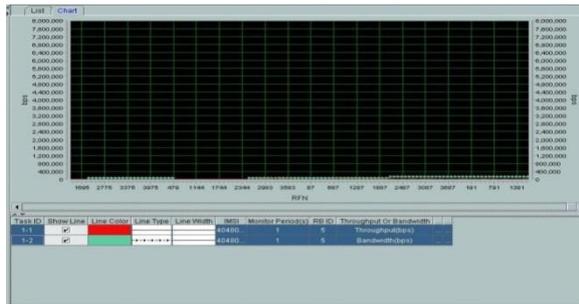


Fig 3.2(b) Uplink Throughput

### 3.3 Power Control

Open loop power control (OLPC) is the ability of the UE transmitter to sets its output power to a specific value. It is used for setting initial uplink and downlink transmission powers when a UE is accessing the network. The open loop power control tolerance is  $\pm 9$  dB (normal conditions) or  $\pm 12$  dB (extreme conditions)

Inner loop power control (also called fast closed loop power control) in the uplink is the ability of the UE transmitter to adjust its output power in accordance with one or more Transmit Power Control (TPC) commands received in the downlink, in order to keep the received uplink Signal-to-Interference Ratio (SIR) at a given SIR target. The UE transmitter is capable of changing the output power with a step size of 1, 2 and 3 dB, in the slot immediately after the TPC\_cmd can be derived. Inner loop power control frequency is 1500Hz. Both OLPC and SIR are presented in the below figure.

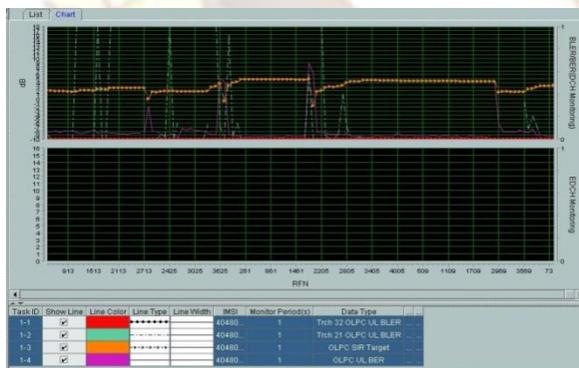


Fig 3.3(a)OLPC

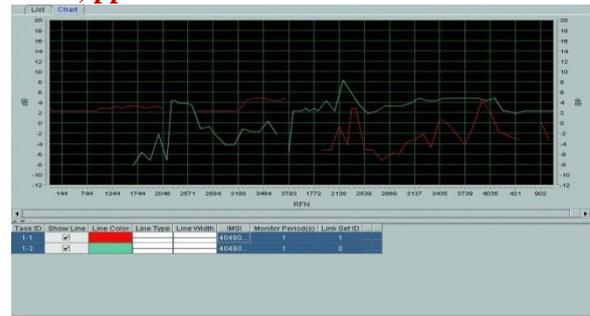


Fig 3.3(b)Uplink SIR

## 4. ADVANCED UMTS AND LTE

### 4.1 New Concepts of UMTS

#### 4.1.1 The Radio Access Bearer (RAB):

An important new concept that is introduced with UMTS is the Radio Access Bearer (RAB), which is a description of the transmission channel between the network and a user. The RAB is divided into the radio bearer on the air interface and the Iu bearer in the radio network (UTRAN). Before data can be exchanged between a user and the network it is necessary to establish a RAB between them. This channel is then used for both user and signaling data. A RAB is always established by request of the MSC or SGSN. In contrast to the establishment of a channel in GSM, the MSC and SGSN do not specify the exact properties of the channel. Instead, the RAB establishment requests contain only a description of the required channel properties. How these properties are then mapped to a physical connection is up to the UTRAN. RAB has the following properties: service class, maximum speed, guaranteed speed, delay, error probability. The UTRAN is then responsible for establishing an RAB that fits the description. The properties not only have an impact on the bandwidth of the established RAB but also on parameters like coding scheme, selection of a logical and physical transmission channel as well as on the behavior of the network in the event of erroneous or missing frames on different layers of the protocol stack. The UTRAN is free to set these parameters as it sees fit; the standards merely contain examples. As an example, for a voice call (service class conversational) it does not make much sense to repeat lost frames.

#### 4.1.2 The Access Stratum and Non-access Stratum:

UMTS aims to separate functionalities of the core network from the access network as much as possible, in order to be able to independently evolve the two parts of the network in the future. Therefore, UMTS strictly differentiates between functionalities of the Access Stratum (AS) and the Non-access Stratum (NAS). The AS contains all functionalities that are associated with the radio network ('the accesses) and the control of active connections between a user and the radio network.

The handover control, for example, for which the RNC is responsible in the UTRAN, is part of the AS. The NAS contains all functionalities and protocols that are used directly between the mobile device (UE) and the core network. These have no direct influence on the properties of the established RAB and its maintenance

#### **4.1.3 Common Transport Protocols for CS and PS:**

In GSM networks, data is transferred between the different nodes of the radio network with three different protocols. The most important task of these protocols is to split incoming data into smaller frames, which can be transferred over the air interface.

- Circuit-switched data (e.g. voice calls): The TRAU converts the PCM-coded voice data, which it receives from the MSC, via optimized codecs like EFR, HR or AMR. These codecs are much more suitable for data transmission over the air interface as they compress voice data much better than PCM. This data is then sent transparently through the radio network to the BTS. Before the data is sent over the air interface, the BTS only has to perform some additional channel coding (e.g. increase of redundancy by adding error detection and correction bits).
- Signaling data (circuit-switched signaling as well as some GPRS channel request messaging and paging): This data is transferred via the LAPD protocol, which is already known from the ISDN world and which has been extended for GSM.
- Packet-switched user and signaling data for GPRS: While user and signaling data are separated in GSM, GPRS combines the two data streams into a single lower layer protocol called RLC/MAC.

In UMTS, these different kinds of data streams are combined into a single lower layer protocol called the RLC/MAC protocol. Giving this protocol the same name as a protocol in the GPRS network was intentional. Both protocols work quite similarly in areas like breaking up large data packets from higher layers into smaller chunks for transmission over the air interface.

## **4.2 ADVANCED LTE**

### **4.2.1 Latency Reduction**

LTE-Advanced aims to further reduce control plane and user plane latency in the access and core network. As latency is already low, a further reduction is quite ambitious. The requirements areas follows:

- A reduced switching time from RRC Idle to RRC Connected state transfer in less than 50 milliseconds.
- While in RRC connected state, the UE should return from a dormant state to a fully active state in less than 10 milliseconds. The feasibility study lists

the following enhancements to achieve these requirements:

- combined RRC Connection Request and NAS Service Request;
- reduced processing delay in network components;
- a reduced RACH scheduling period;
- a shorter PUCCH cycle for quicker scheduling requests.

### **4.2.2 Carrier Aggregation**

A relatively simple way to further increase individual data transmission speeds is to increase the channel bandwidth. To remain backward compatible with 3GPP Release 8, the maximum carrier bandwidth of 20 MHz is not altered. Instead, carrier aggregation is used to combine the capacity of several individual carriers. The aggregated carriers can be adjacent or non-adjacent, they can be in a single band and also in different bands. An individual carrier is referred to in the standards as a component carrier (CC). One configuration, for example, is to combine carriers in LTE bands 7 (2600-MHz band) and 3 (1800-MHz band) to potentially achieve a total carrier bandwidth of 40 MHz in the downlink direction. Carriers can be aggregated asymmetrically in the downlink and the uplink directions. In the downlink direction, for example, carriers in two different bands can be aggregated to a combined 40-MHz channel, while in the uplink direction only a 20-MHz carrier in a single band is used. For the future, further carrier aggregation configurations are envisaged that would result in even broader transmission channels.

### **4.2.3 8x8 Downlink and 4x4 Uplink MIMO**

To further increase the data rates close to the center of the cell, LTE-Advanced introduces an 8x8 Single-User MIMO transmission mode. Compared to the 2x2 MIMO mode used by LTE in practice today and the resulting maximum transmission speed of 150 Mbit/s when a 20-MHz carrier is used, speeds of up to 600 Mbit/s could be reached. Together with the aggregation of two 20-MHz carriers, theoretical top speeds exceed 1 Gbit/s. In practice, however, it will be challenging to incorporate eight receive antennas in mobile devices. Similar challenges will be faced on the base station side as the number of antennas and the antenna sizes are further increased. This is challenging because of the available space on top of the antenna masts and the additional stress on the mast due to additional wind forces. In the uplink direction, current mobile devices only transmit a single data stream. The base stations, however, can use multiuser MIMO methods, as discussed earlier, to increase the overall bandwidth in the uplink direction of a cell by instructing several mobile devices to transmit simultaneously and then using MIMO

techniques to separate the data streams. LTE-Advanced aims to increase the available data rates for a single user by introducing single user MIMO methods with antenna configurations of up to  $4 \times 4$ . In an ideal situation, this results in a peak throughput of 300 Mbit/s in a 20-MHz carrier and 600 Mbit/s in a 40-MHz aggregated carrier. Again, practical considerations concerning the placement of four antennas in a small mobile device will limit the application of  $4 \times 4$  MIMO in the uplink direction to larger mobile devices such as pad computers, netbooks and notebooks.

#### 4.3.4 Relays

Small and inexpensive femtocells connected to a cheap backhaul link such as DSL are one way to increase throughput and to extend the coverage area of the network. Another complementary approach is relaying. Relay nodes, as standardized in 3GPP Release 10, act as standard LTE cells with their own physical cell-ID, broadcast channels, etc. Unlike macrocells, however, which use a copper, fiber or microwave backhaul, relays use the LTE air interface to an LTE macrocell to transport the data via that cell to the core network. The relaying can take place on a carrier also used by a macro cell to serve mobile devices. Alternatively, a separate carrier channel that is exclusively reserved for the relay node can be used. With both options, areas can be covered without additional microwave equipment and without the need of a fixed-line backhaul connection.

#### 4.3.5 Study on Coordinated Multipoint Operation

In UMTS, the soft handover mechanism, as described in Chapter 3, is used in cell edge situations. When a connection is in soft handover state, signals sent by a mobile device are received and decoded by several base stations and combined at the RNC level. In the downlink direction, the soft handover mechanism is used for dedicated channels but not for HSPA because of the complexity involved in synchronizing the downlink transmissions of the cells, which act independently for high speed transmissions. Even though only used for the uplink direction, soft handovers help to improve cell edge performance and overall cell capacity, as in such areas, interference is high because of similar signal strengths of several cells and a low overall signal level. In 3GPP Release 10, a study is performed for a mechanism with a similar effect for LTE-Advanced. These mechanisms are referred to as Coordinated Multipoint Operation (CoMP). Two CoMP modes are envisaged. The first CoMP mode uses only transmissions of a single base station with coordinated scheduling between base stations to a single mobile device and beamforming mechanisms to concentrate the signal

energy in the direction of a mobile device at the cell edge (coordinated scheduling/beamforming). In the second mode envisaged, several cells would transmit data simultaneously, thereby also increasing transmission speeds at the cell edge. As CoMP is only a study item in 3GPP Release 10, the first functionality will only be standardized in Release 11 of 3GPP.

## 5. CONCLUSION

Technology will always give us some advantage as well as some disadvantage. In this paper we have discussed about the fore coming concepts of 3G and 4G. In UMTS privacy plays a major role including tracking one's position through satellite, and of course expensive. One of the main concerns about 4G is that due to high speed of the frequency, it will experience severe interference from multipath secondary signals reflecting off other objects. To counter this problem, a number of solutions have been proposed, including use of a variable spreading factor and orthogonal frequency code-division multiplexing. It is only in the hand of future to overcome these difficulties and introduce newer concepts regarding 3G and 4G.

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