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# Facial Feature Based Method For Real Time Face Detection And Tracking I-CURSOR

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**Abstract—This project aims to present an application that is able of replacing the traditional mouse with the human face as a new way to interact with the computer. Facial features (nose tip and eyes) are detected and tracked in real-time to use their actions as mouse events. In our work we were trying to compensate people who have hands disabilities that prevent them from using the mouse by designing an application that uses facial features (nose tip and eyes) to interact with the computer. It can be applied to a wide range of face scales. Our basic strategy for detection is fast extraction of face candidates with a Six-Segmented Rectangular (SSR) filter and face verification by a support vector machine[4][5]. A motion cue is used in a simple way to avoid picking up false candidates in the background. In face tracking, the patterns of between-the eyes are tracked with updating template matching.**

## . Introduction

In the past few years high technology has become more progressed, and less expensive. With the availability of high speed processors and inexpensive webcams, more and more people have become interested in real-time applications that involve image processing. One of the promising fields in artificial intelligence is Human Computer Interface which aims to use human features (e.g. face, hands) to interact with the computer. One way to achieve that is to capture the desired feature with a webcam and monitor its action in order to translate it to some events that communicate with the computer. In our work we were trying to compensate people who have hands disabilities that prevent them from using the mouse by designing an application that uses facial features (nose tip and eyes) to interact with the computer.

The nose tip was selected as the pointing device; the reason behind that decision is the location and shape of the nose; as it is located in the middle of the face it is more comfortable to use it as the feature that moves the mouse pointer and defines its coordinates, not to mention that it is located on the axis that the face rotates about, so it basically does not change its distinctive convex shape which makes it easier to track as the face moves. Eyes were used to simulate mouse clicks, so the user can fire their events as he blinks. While different devices were used in HCI (e.g. infrared cameras, sensors, microphones) we used an off-the-shelf webcam that affords a moderate resolution and frame rate as the capturing device in order to make the ability of using the program affordable for all individuals. We will try to present an algorithm that distinguishes true eye blinks from involuntary ones, detects and tracks the desired facial features precisely, and fast enough to be applied in real-time.

We have aimed to design an application that uses facial features (nose tip and eyes) to interact with the computer. In this application, Facial features (nose tip and eyes) are detected and tracked in real-time to use their actions as mouse events. The coordinates and movement of the nose tip in the live video feed are translated to become the coordinates and movement of the mouse pointer on the user's screen. The left/right eye blinks fire left/right mouse click events. The only external device that the user needs is a webcam that feeds the program with the video stream.

In our work we are trying to compensate people who have hand disabilities that prevent them from using the mouse.

## 2. Related Work

Most previous approaches to facial feature tracking utilize skin tone based segmentation from single camera exclusively (Yang & Waibel, 1996; Wu et al., 1999; Hsu et al., 2002; Terrillon & Akamatsu, 1999; Chai & Ngan, 1999). However, color information is very sensitive to lighting conditions, and it is very difficult to adapt the skin tone model to a dynamically changing environment in real-time Kawato and Tetsutani (2004) proposed a mono

camera based eye tracking technique based on six-segmented filter (SSR) which operates on integral images (Viola & Jones, 2001)[7]. Each HCI method that we read about had some drawbacks, some methods used expensive equipments, some were not fast enough to achieve real-time execution, and others were not robust and precise enough to replace the mouse.

We tried to profit from the experience that other researchers gained in the HCI field and added our own ideas to produce an application that is fast, robust, and useable.

## 3. Feature Based Face Localization Method

In contrast to the knowledge-based methods, research has been done to find invariant features of faces for detection. The idea came from that human could easily detect faces and objects even if the illumination condition and poses changed. So there must exist properties or features that remain unchanged over different situations, or, could change in a predictable way. Feature invariant approaches search for face structural features that are invariant to changes in pose, viewpoint, illumination, and expression. An example of largely adopted feature is the skin color, several works [8], [9], [10] suggest modeling the skin color distribution with a Gaussian mixture model. Other facial features such as forehead, eyebrows, eyes, nose, cheeks and mouth also extracted as invariant features using edge detectors. The face image is usually divided into small regions that contain the extracted invariant features and a statistical model is built. As a result, the feature of each region, together with relationships between these regions suggests different facial expressions, illumination condition, viewpoints, etc. The drawback of this kind of method is that image features could be severely destroyed due to bad illumination condition, noise, and other occlusion, and the boundaries between features could be too weak to detect while the shadows could produce strong fake edges. Image invariants can be designed to fit the needs of specific systems. Some require only that it be non-discriminating to an object's geometric pose or orientation. Others may be only interested in it being insensitive to the change of illumination. More complex systems however demand that it be insensitive to a combination of several environmental changes. Clearly the latter case is more difficult to achieve**.**

### 3. SSR Filter

For face candidate extraction, a rectangle is scanned on the input image. The rectangle is segmented into six parts as in Fig. 2. We denote an average pixel value within a segment $S_i$ as $S_i$. Then, when one eye and eye brow are within $S_3$, we can expect

$$S_1 < S_2 \quad and \quad S_1 < S_4, \tag{4}$$

$$S_3 < S_2 \quad and \quad S_3 < S_6. \tag{5}$$

A point where (4) and (5) are satisfied can be a face candidate. We call this an SSR filter [4].

The proposed SSR filter, which is the rectangle divided into 6 segments as shown in Fig.1 (a), operates by using the concept of bright-dark relation around Between-the-Eyes area as explained by Fig.1 (b) and (c). We select Between-the-Eyes as face representative because it is common to most people and easy to find for wide range of face orientation [11].

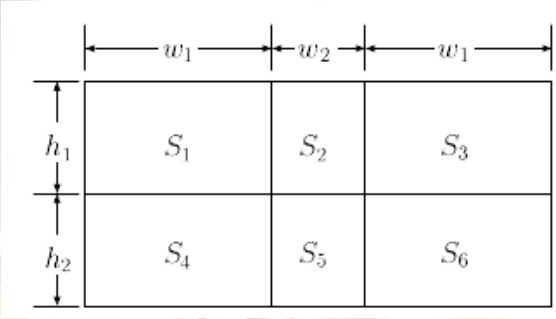
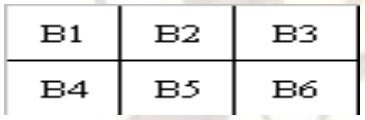



Figure 1: *Six-Segmented Rectangular (SSR) Filter. Average pixel values in each segment are computed and compared with each other to find whether they satisfy certain conditions*




**(a)**

**(b)**

**(c)**

**(d)**

Figure 2: *Concept of SSR Filter: (a) the proposed rectangular filter divided into six segments. (b) Nose area is brighter than right and left eye area. (c) Eye area is*

*relatively darker than cheekbone area. (d) Example of the extracted Between-the-Eyes candidates.*

## 4. FACE ROI LOCALIZATION

In general, face tracking approaches are either image based or direct feature search based methods [6]. Image based (top-down) approaches utilize statistical models of skin color pixels to find the face region first, accordingly pre-stored face templates or feature search algorithms are used to match the candidate face regions as in Chiang et al. (2003). Feature based approaches use specialized filters directly such as templates or Gabor filter of different frequencies and orientations to locate the facial features. Our work falls into the latter category. That is, first we find the eye candidate locations employing the integral image technique and the six segmented rectangular filter (SSR) method with SVM. Then, the similarities of all eye candidates are verified using the stereo system. The convex curvature shape of the nose and first and second derivatives around the nose tip are utilized for the verification. The nose tip is then utilized as a reference for the selection of the mouth ROI. At the current implementation, the system tracks the person closest to the camera only, but it can be easily extended to a multiple face tracking algorithm.

### *4.1 Eye Tracking*

The pattern of the between the eyes are detected and tracked with updated pattern matching [1]. To cope with scales of faces, various scale down images are considered for the detection, and an appropriate scale is selected according to the distance between the eyes (Kawato and Tetsutani, 2004). The algorithm calculates the intermediate representation of the input image called "Integral image", described in Viola & Jones (2001). Then, a SSR filter is used for fast filtering of bright-dark relations of the eye region in the image. Resulting face candidates around the eyes are further verified by perpendicular relationship of nose curvature shape as well as the physical distance between the eyes, and eye level and nose tip [3].

### *4.2 Nose Bridge and Nose Tip Tracking*

The human nose has a convex curvature shape and the ridge of the nose from the eye level to the tip of the nose lies on a line as depicted in Fig. 1. Our system utilizes the information in the integral intensity profile of convex curvature shape. The peak of the profile of a segment that satisfies Eqn. 1 using the filter shown in Fig.2 is the convex hull point. A convolution filter with three segments traces the ridge with the center segment greater than the side segments, and the sum of the intensities in all three segments gives a maximum value on the convex hull point. Fig.2 shows an example filter with three segments that traces the convex hull pattern starting from the eye line. The criteria for finding the convex hull point on an integral intensity profile of a row segment is as follows,

$$S_1 < S_2 < S_3 \quad and \quad \arg\{\max_j(S_1 + 3S_2 + S_3)\},$$

Where $S_i$ denotes the integral value of the intensity of a segment in the maximum filter, and j is the center location of the filter in the current integral intensity profile. The filter is convolved with the integral intensity profile of every row segment [1]. A row segment typically extends over 5 to 10 rows of the face ROI image, and a face ROI image typically contains 20 row segments. Integral intensity profiles of row segments are processed to find their hull points (see Fig.3 using Equation 1 until either the end of the face ROI is reached or until Eqn. 1 is no longer satisfied. For the refinement process, we found that the first derivative of the 3D surface data as well as the first derivative of the intensity at the nose tip is maximum, and the second derivative is zero at the nostril level [2].

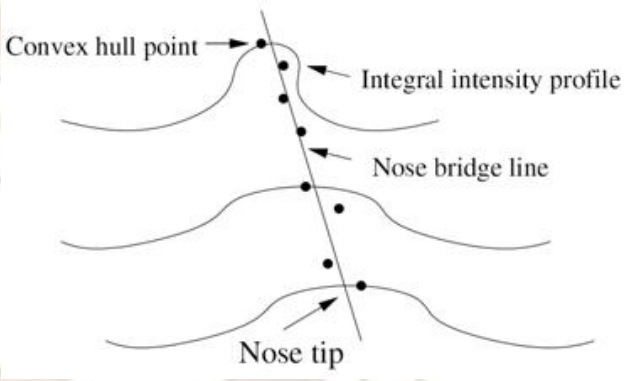



Fig. 3: *Nose bridge line using its convex hull points from integral intensity projections*.

## 5. IMPLEMENTATION

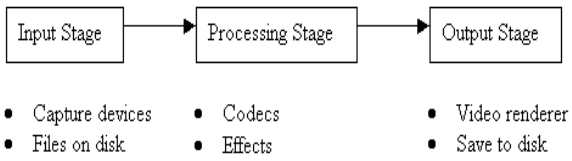
### *5.1 Java Media Framework*

#### *5.1.1 Introduction*

The Java Media Framework (JMF) is a recent API for Java dealing with real-time multimedia presentation and effects processing. JMF handles time-based media, media which changes with respect to time. Examples of this are video from a television source, audio from a raw-audio format file and animations. The beta JMF 2.0 specification will be used for this report, as they currently reflect the features that will appear in the final version.

#### *5.1.2 Stages*

The JMF architecture is organized into three stages:




During the input stage, data is read from a source and passed in buffers to the processing stage. The input stage may consist of reading data from a local capture device (such as a webcam or TV capture card), a file on disk or stream from the network.

The processing stage consists of a number of codecs and effects designed to modify the data stream to one suitable for output. These codecs may perform functions such as compressing or decompressing the audio to a different format, adding a watermark of some kind, cleaning up noise or applying an effect to the stream (such as echo to the audio).

Once the processing stage has applied its transformations to the stream, it passes the information to the output stage. The output stage may take the stream and pass it to a file on disk, output it to the local video.

### *5.1.3 Component Architecture*

JMF is built around component architecture. The components are organized into a number of main categories:

- Media handler
- Data sources
- Codecs/Effects
- Renderers
- Mux/Demuxes

#### *5.1.3.1 Media Handlers*

MediaHandlers are registered for each type of file that JMF must be able to handle. To support new file formats, a new MediaHandler can be created.

#### *5.1.3.2 Data Sources*

A DataSource handler manages source streams from various inputs. These can be for network protocols, such as http or ftp, or for simple input from disk.

#### *5.1.3.3 Codecs/Effects*

Codecs and Effects are components that take an input stream, apply a transformation to it and output it. Codecs may have different input and output formats, while Effects are simple transformations of a single input format to an output stream of the same format.

#### *5.1.3.4 Renderers*

A renderer is similar to a Codec, but the final output is somewhere other than another stream. A VideoRenderer outputs the final data to the screen, but another kind of renderer could output to different hardware, such as a TV out card.

#### *5.1.3.5 Mux/Demuxes*

Multiplexers and Demultiplexers are used to combine multiple streams into a single stream or vice-versa, respectively. They are useful for creating and reading a package of audio and video for saving to disk as a single file, or transmitting over a network.

### *5.1.4 Presenting Data*

The Java Media Framework provides a number of pre-built classes that handle the reading, processing and display of data. Using the Player, media can easily be incorporated into any graphical application (AWT or Swing). The Processor allows you to control the encoding or decoding process at a finer level than the Player, such as adding a custom codec or effect between the input and output stages.

## 6. Future Works

Feature works may include improving the tracking robustness against lighting conditions; perhaps by using more sophisticated and expensive capturing devices such as infrared cameras that can operate in absence of light and give more accurate tracking results.
Adding the double left click (detecting the double left eye blink) and the drag mode (enabling/disabling with the right double eye blink) functionalities.
Adding voice commands to launch the program, start the detection process, and to enable/disable controlling the mouse with the face.

## 7. Conclusion

We are aimed to implement scale-adaptive face detection and tracking system using JAVA (J2ME) for face candidate detection, a six-segmented rectangle. (SSR) filter is scanned over the entire input image. This approach is similar to the window-scanning technique often used in the image-based approach. However, once the bright-dark relations between the six segments indicate a face candidate, eye candidate and nose tip regions are searched in the manner of the feature-based approach.

Then, based on the locations of a pair of eye candidates and nose tip, the scale, orientation and gray levels are normalized.

The use of Java Millennium Edition helps it to be compatible even with pocket devices so, hopefully every new gadget or electrical appliance could be used by handicaps in future.

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